



STUDENT DETAILS

FAMILY NAME: _____ STUDENT ID: _____

GIVEN NAMES: _____ TITLE: _____

SCHOOL: _____ DEGREE: _____

If you are unsure if your project involves intellectual property, you may find this questionnaire useful. An external party could be a funding body, a private or public company, a publisher or a participant in your study. Read more about [Confidentiality and Intellectual Property](#) here.

SECTION 1

Has any confidential information or data changed hands, between you and an external party?

- YES
- NO

Are you now, or have you previously been, or will you be in the future, in receipt of external funding for your research project?

- YES
- NO

Have any arrangements been made with any external party affecting the use of data in your project?

- YES
- NO

Have you entered into an arrangement with any external party which will, or may in the future, restrict your ability to publish any of your research?

- YES
- NO

Do you have any knowledge of any external party which might seek to restrict publication of any part of your thesis?

- YES
- NO

If the answer to ANY of question in Section 1 was YES, please complete Section 2.

If the answer to ALL questions in Section 1 were NO, unless the circumstances of your project change, you are unlikely to require any further consideration of intellectual property issues.

SECTION 2

Does your project involve existing intellectual property subject to an agreement with an external party?

- YES
- NO

Will your project create intellectual property in the future?

- YES
- NO

Have you agreed (or been asked to agree) to assign existing or future intellectual property to any person or external party?

- YES
- NO

If YES, Has any assignment of the existing or future intellectual property been made?

- YES
- NO

Have any intellectual property arrangements been made such as who may use it (for example, a licence) or other grant of rights?

- YES
- NO

Has any external party sought to have restrictions (in any way) on your thesis or related research publication or requires approval of the thesis or related research before publication?

- YES
- NO

If YES, please describe

(a) The nature and extent (such as the duration) of any such approval or restriction:

(b) When the arrangement was entered into:

(c) How the arrangements is, or will, operate in practice:

If the answer to ANY of question in Section 2 was YES, please complete Section 3.

SECTION 3

Do you expect that any of the agreements or obligations you may have referred to in Section 2 may be especially difficult to carry through?

YES

NO

Are all those agreements and obligations written down (as an agreement / letter / email)?

YES

NO

If NO, how do you know the terms of the arrangement if they are not written down?

If YES, please provide a copy of formal confidentiality and/or intellectual property agreements or letters to the Graduate Research School to keep on the student file. The Graduate Research School and your supervisors can liaise with the UWA Risk and Legal Office about these formal confidentiality and/or intellectual property agreements. As the Risk and Legal Office consider students a separate entity from the University, and are only able to act for and take instructions from the University, through the Graduate Research School and/or UWA supervisors. Students can obtain independent legal advice from a legal practitioner of choice before signing any agreements, to assist their understanding of the legal consequences of signing.

Supporting Policies, Rules & Guides

[University Policy on Intellectual Property](#)

[UWA Rules for Higher Degrees by Research](#): See Intellectual property, confidentiality section

[Graduate Research School advice on Confidentiality and Intellectual Property](#)

[Graduate Research School Confidentiality and Intellectual Property Toolkit](#)

[UWA Library Research Data Management Toolkit](#)

Signature of Student

Date
