

Supervision and School Assessment Form – MRes applicants only

The following applicant wishes to be considered as a candidate in UWA's HDR Program.
Please assess their suitability and provide your recommendation.

Section 1 Applicant Details

Family name		Student number	
Title	Given names	Degree & Code	Master of Research (MRes) only
School		Start date	January only
Supervisors			

Domestic	<input type="checkbox"/>	International	<input type="checkbox"/>	<i>International only</i>		
Full time	<input type="checkbox"/>	Part time	<input type="checkbox"/>	Sponsored student	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Internal	<input type="checkbox"/>	External	<input type="checkbox"/>	Autonomous sanctions	<input type="checkbox"/> YES	<input type="checkbox"/> NO
				Health cover	<input type="checkbox"/> Single	<input type="checkbox"/> Dual <input type="checkbox"/> Family

Research end user HDR Partnerships advised Transferring - RP approval required Agent nominated

Qualifications

UWA academic transcript attached by HDR Support Team (if applicable)

English

Recommendation

- the offer be made to the applicant no offer to be made to the applicant
- unconditional conditional upon: meeting ELC research proposal approved by BGRS
- sighting original docs other

Comments

HDR Pre-candidature	Name	Date
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Section 2 Supervision

Register of Supervisors

The [Register of Supervisors](#) of Higher Degree by Research (HDR) students promotes a culture of best practice in supervision and provides clarity around the roles and expectations of supervisors at UWA.

All staff of the university, and all adjunct, honorary and emeritus affiliates, must be on the Register in order to be eligible to be approved as designated supervisors of HDR students. External supervisors who are not employed by the University and do not hold adjunct, honorary, or emeritus positions are exempt from the register.

The responsibility of supervisors is detailed in the University Policy on Graduate Research Training ([UWA Policy No. UP12/11](#)).

As a guide, the Graduate Research School may approve the following distribution of supervision levels, roles, and percentages for a student's candidature, based on interpretation of the University Policy on Graduate Research Training.

Supervisor Roles	Min %	Max %
The Principal Supervisor provides primary academic leadership in the supervision.	30	80
The Coordinating Supervisor takes primary responsibility for the academic management of the student's candidature and ensuring that all administrative and reporting requirements of the supervision are met. This person must be an employee of UWA.	10	70
The Principal and Coordinating Supervisor provides primary academic leadership in the supervision and takes primary responsibility for the academic management of the student's candidature, ensuring that all administrative and reporting requirements of the supervision are met.	40	80
A Co-supervisor will have specific expertise that is useful to the student's research program and will be available as an independent person from whom the student may seek advice during the course of the candidature. They will also serve as backup for the Principal and/or Coordinating Supervisor. This person need not be an employee of UWA but will be expected otherwise to hold adjunct, emeritus, or honorary status.	10	70
An External Supervisor is not an employee of UWA and does not hold adjunct, emeritus, or honorary research fellow status at UWA. They will have specific expertise of relevance and use to the student's research program. (Total external supervision)	10 0	20 50
A Mentor is a Level 3 supervisor who is part of the supervisory team and actively guides and assists junior supervisors in the process of supervision.	10	60
Any individual	10	80

Adjunct, emeritus, or honorary research fellows may not be a Coordinating Supervisor but may be a Co-supervisor.

Adjunct affiliates who are staff of other universities, and external supervisors will not be approved as Principal Supervisors.

Adjunct, emeritus, or honorary research fellows may be approved as Principal Supervisors at the discretion of the Board of the Graduate Research School on the basis of a case provided by the relevant Head of School along with the Registration Application.

At least 50% of supervision is expected to be provided by employee/s of this University.

An external supervisor can only act as Co-supervisor.

Supervisor Levels

Level 1 : Co-supervisor; may be Principal and/or Coordinating if mentored by L3 and supported by a Graduate Research Coordinator

Level 2 : Principal, Coordinating, Co-supervisor

Level 3 : Principal, Coordinating, Co-supervisor, and Mentor to L1

Supervisor Practitioner : Co-supervisor only

Section 3 Nomination of Supervision

a) List all individuals who have agreed to supervise this applicant

Role	%	Title, Given name, Family name	Staff No.	Status	School

b) Is the proposed supervision arrangement in accordance with the relevant [rules, policies, and guidelines](#)? YES NO

c) Are there are at least two supervisors from UWA? YES NO

d) Do any supervisors have a conflict of interest ([UWA Policy UP12/32](#)) with the applicant or other supervisors? YES NO

e) The MRes program commences in January for a term of 12 months. Are all supervisors on this panel available for a January commencement and 12 months thereafter? YES NO

f) Provide contact details for all adjunct, emeritus, honorary and external supervisors (incl. external affiliation/company; address; email)

g) External supervisors must provide a curriculum vitae (not just a downloaded list of publications) detailing title, current position, and full mailing address. External supervisor CV attached? YES NO

h) Briefly describe alternative arrangements to be used in the event of any supervisor being unable to continue supervision.

Section 4 Research Interest

a) Comment on the research proposal and description of the additional MRes research, with particular reference to its quality, feasibility and methodology to be employed, to be completed within the additional 12 months allowed for this degree.

b) To allocate the enrolment unit accurately, provide up to three broad key words that best describe this research project.

(i)

(ii)

(iii)

c) Identify the most applicable research field:

- | | | |
|--|--|---|
| <input type="checkbox"/> Agricultural Economics, Plant, Soil Science | <input type="checkbox"/> Dentistry - Clinical | <input type="checkbox"/> Human and Social Geography |
| <input type="checkbox"/> Animal Science | <input type="checkbox"/> Dentistry - Paraclinical Sciences | <input type="checkbox"/> Law |
| <input type="checkbox"/> Architecture, Landscape and Visual Arts | <input type="checkbox"/> Dentistry - Public Health | <input type="checkbox"/> Mathematics |
| <input type="checkbox"/> Arts, Humanities and Social Sciences | <input type="checkbox"/> Economics and Commerce | <input type="checkbox"/> Medicine - Biological Sciences |
| <input type="checkbox"/> Biological and Physical Sciences
(including Astronomy and Physics) | <input type="checkbox"/> Engineering | <input type="checkbox"/> Medicine - Clinical |
| <input type="checkbox"/> Computer Science | <input type="checkbox"/> Education | <input type="checkbox"/> Medicine - Paraclinical Sciences |
| <input type="checkbox"/> Dentistry - Biological Sciences | <input type="checkbox"/> Geology and Physical Geography | <input type="checkbox"/> Medicine - Public Health |
| | <input type="checkbox"/> Human and Behavioural Sciences | <input type="checkbox"/> Paramedical |

d) Research classification and codes:

The ABS has released the [2020 ANZSRC](#); that will ultimately replace the 2008 standard research classification codes. We are obliged to collect both 2008 & 2020 codes for the immediate future. The FOR & SEO codes can be found at the ABS site via:

2020 FOR & SEO codes - [download excel from the new release](#)
and refer to tab 3 (a search function is not yet available)

2008 Appendix 1 [FOR fields by code number](#)
2008 Appendix 2 [SEO objectives by code number](#)

FoR (6 digits)			
2020	%	2008	%
.....	----	----
.....	----	----
.....	----	----

SEO (6 digits)			
2020	%	2008	%
.....	----	----
.....	----	----
.....	----	----

Type of Activity (ToA)
<input type="checkbox"/> Pure Basic Research
<input type="checkbox"/> Strategic Basic Research
<input type="checkbox"/> Experimental Research
<input type="checkbox"/> Applied Research

Section 5 Facilities and Resources

- a) What are the anticipated REMAINING costs of **this individual student's** project including fieldwork? \$
- How much will the Faculty/School provide to support this applicant's research? \$
- How much will Supervisor/s provide and what is the source of this funding? \$
- BU/PG Funding Body
- How much is available from external sources? \$
- Funding Body Attach funding agreement or budget page
-
- b) Is the student's research subject to an obligation to any party other than the student with respect to **Intellectual Property** that the student has produced or may produce during their HDR candidature? YES NO
- Such obligations may include confidentiality, the need to seek permission to publish, the requirement to publish, the requirement to provide data/IP, or the assignment of IP rights.
- Will a specific contribution of funds, resources, facilities or apparatus administered by UWA, which is designated for the purpose of creating IP, be made available to the student? YES NO
- If YES to either of the above, agreement must be reached with the student as to the ownership of any resulting IP and that agreement must be in writing. If that agreement is not attached, or the student is not a signatory to that agreement, the student's offer will be made subject to signing a [Student Deed Poll](#) prior to enrolment. Please discuss this requirement with the student.
-
- c) Does the student's research involve fieldwork or other off-campus activities? YES NO
- If YES, have you read UWA's [off-campus and fieldwork planning](#) policies and procedures? YES NO
- If YES, is the student's research hosted by a Research End User (REU)? YES NO

- d) Research using animals or human participants or their data, whether carried out on campus, off-campus, or overseas, requires ethics approval from the UWA Animal Ethics Committee (AEC) or Human Research Ethics Committee (HREC).
- Does the student's research involve animals or human participants or their data? YES NO
- Please specify Animal Human Animal & Human
- Will this student's project be subject to a current project with ethics approval already in place? YES NO
- Provide project details and approval/s
- Does this student's project already have ethics approval? YES NO
- Provide UWA approval number/s
- If ethics are not in place, when do you expect to apply for approval from a UWA ethics committee?
-
- e) Is statistical advice available to the student within the School? YES NO
- If NO, how will it be obtained?
-
- f) Will the student or supervisors be working mainly from home or other institution, rather than attending campus in person or is the student applying for external enrolment? YES NO
- If YES, attach [remote supervision plan](#).
-
- g) Is there any other information relevant to this application?

Section 6 International Students (only)

Autonomous Sanctions

United Nations and/or Australian autonomous sanctions are in force in relation to the following countries: Myanmar (formerly Burma), North Korea, the Former Federal Republic of Yugoslavia, Iran, Libya, Syria, Zimbabwe, Russia/Ukraine, Crimea, Sevastopol, Central African Republic, Democratic Republic of the Congo, Guinea-Bissau, Iraq, Lebanon, Mali, Somalia, South Sudan, Sudan, and Yemen.

For applicants from these countries, a Compliance Assessment for Autonomous Sanctions must be carried out by the Office of Research Enterprise based on the evidence provided in the application using tools made available by DFAT.

Further information: sanctions@uwa.edu.au.

- a) Is the applicant from one of the above countries? YES NO

Scholarship for International Research Fees (SIRF)

- b) Does the School or Faculty wish to recommend the applicant for a centrally funded Scholarship for International Research Fees (SIRF) or UWA China Scholarship (China SIRF)? YES NO
- c) Does the School or Faculty intend to provide a living allowance/stipend with the SIRF/China SIRF? YES NO

Sponsored Students

- d) Is the sponsorship applicable to the Master of Research (MRes)? YES NO

Section 7 DECLARATION –by Coordinating Supervisor

I have discussed this application with the candidate and recommend admission to candidature for the degree indicated below.

To the best of my knowledge at this time, the Coordinating and Principal supervisors will be available to supervise this candidate from the proposed commencement date specified until the degree is complete.

The Coordinating supervisor must have a [Callista account and access to staffConnect](#).

Coordinating Supervisor

Name:

Date:

.....
(sign or insert electronic signature above)

Coordinating Supervisor

(joint school, if applicable)

Name:

Date:

.....
(sign or insert electronic signature above)

Section 8 DECLARATION –by Graduate Research Coordinator

I certify that the School can provide appropriate supervision, equipment, facilities, funding, and resources for the degree indicated below. I recommend for approval the proposed research, enrolment type, and start date.

I recommend this applicant be:

- APPROVED**
 entry to the Master of Research (MRes)
 NOT APPROVED
 applicant to be conferred the degree of Honours

a) Have you confirmed (via Socrates, HoS, HRBP, etc.) that all UWA supervisors are likely to be employed or contracted to the end of the applicant’s proposed candidature? YES NO

b) Briefly describe alternative arrangements to be used in the event of any supervisor, but in particular the Principal and/or Coordinating supervisor/s, being absent through illness, sabbatical, parental, emergency, or other leave of six weeks or more, or being unable to continue supervision through to the end of candidature.

c) If a remote supervision plan is attached, is the plan feasible for the agreed period & does the School recommend enrolment?

Comments

Graduate Research Coord

..... Name:
 (sign or insert electronic signature above) Date:

Graduate Research Coord
(joint school, if applicable)

..... Name:
 (sign or insert electronic signature above) Date:

Section 9 Graduate Research School *(office use only)*

Supervisor	FTE current HDR supervisions		Supervisor Register Check	
	Master	PhD	Registered	Level
1.				
2.				
3.				
4.				
5.				

- APPROVED**
 NOT APPROVED

Comments

Graduate Research School

..... Name:
 (sign or insert electronic signature above) Date:

Section 9 Graduate Research School *(office use only)*

Supervisor	FTE current HDR supervisions		Supervisor Register Check	
	Master	PhD	Registered	Level
1.				
2.				
3.				
4.				
5.				

- APPROVED NOT APPROVED
 INFORMATION REQUIRED Confirmation of Candidature milestone required *(transferring students only)*

Comments

Graduate Research School

.....
 (sign or insert electronic signature above)

Name:

Date: